



SSPL WEALTH PVT. LTD.

Member Code: NSE - 11221, BSE – 6292, Sebi Reg. No.: INZ000192638
 CIN: U67120TN1999PTC042589, GST No.: 33AAECS8838B1ZF, PAN No.: AAEC8838B
 NEW NO. 11, OLD NO. 5. BISHOP WALLERS AVENUE (WEST), MYLAPORE, CHENNAI- 4
 E mail – info@ssplwealth.com, Ph: 044-42169494

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

| S N | Receive d from | Carried forward from previous month | Receiv e d during the month | Total Pendin g | Reso lve d* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|--------|-------------------------------|---|---|----------------------|-------------------|---|---|---|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| 2 | SEBI (SCORE S) | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| 3 | Stock Exchanges | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | Nil | Nil |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | Nil | Nil |



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Trend of monthly disposal of complaints

| S. No | Month | Carried forward from month | Received | Resolved* | Pending** |
|-------|--------------------|----------------------------|------------|------------|------------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | Apr - 2023 | Nil | Nil | Nil | Nil |
| 2 | May - 2023 | Nil | Nil | Nil | Nil |
| 3 | Jun - 2023 | Nil | Nil | Nil | Nil |
| 4 | Jul - 2023 | Nil | Nil | Nil | Nil |
| 5 | Aug - 2023 | Nil | Nil | Nil | Nil |
| 6 | Sep - 2023 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| S. No | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|-------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | Nil | 1 | 1 | Nil |
| 2 | 2018-19 | Nil | Nil | Nil | Nil |
| 3 | 2019-20 | Nil | Nil | Nil | Nil |
| 4 | 2020-21 | Nil | Nil | Nil | Nil |
| 5 | 2021-22 | Nil | Nil | Nil | Nil |
| 6 | 2022-23 | Nil | 1 | 1 | Nil |
| | Grand Total | Nil | 2 | 2 | Nil |