

No.

M National Stock Exchange of India Limited (NSE)
E BSE Limited (BSE)
M
B Multi Commodity Exchange of India Limited (MCX)
E
R Depository Participant with CDSL

TRADING & DEPOSITORY CLIENT REGISTRATION FORM

Client Name : _____

Trading Code : _____

DP ID :

1	2	0	4	5	0	0	0
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Client ID :

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CKYC No. : _____

ACCOUNT OPENING KIT INDEX

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI, EXCHANGES & DEPOSITORIES			
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/check list. B. Document captures the additional information about the constituent relevant to trading account.	4-5 & 13-16 6 - 8
2	Rights and Obligations - Trading	Document stating the Rights & Obligations of stock broker/commodity broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet / wireless technology based trading).	A1 - A12
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities/commodities market.	A13 - A20
4	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	A21 - A24
5	Policies and Procedures	Document describing significant policies and procedures of the stock/commodity broker	A25 - A30
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SSPL Wealth Pvt. Ltd.

Member : National Stock Exchange of India Limited (NSE) , BSE Limited (BSE) & Multicommodity Exchange of India Limited (MCX)

Sebi Regn No. : INZ 000192638

Depository Participant with CDSL Sebi Regn No. : IN-DP-262-2016

Regd. Office : New No.11 (Old No. 5), Bishop Wallers Avenue (West), Mylapore, Chennai - 600 004.

Phone : 044 - 4216 9494 E-mail : support@ssplwealth.com Website : www.ssplwealth.com

Compliance Officer : R. Udayakumar Phone : 044 - 42169494 E-mail : compliance@ssplwealth.com

Managing Director : D. Sathyamoorthi Phone : 044 - 42169494 E-mail : sathya@ssplwealth.com

National Stock Exchange of India Ltd. (NSE)
Ph : (022) 2659 8100 Fax : (022) 2659 8120
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Website : www.nseindia.com


BSE Ltd. (BSE)
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Website : www.bseindia.com

Multicommodity Exchange of India Ltd. (MCX)
Ph : (022) 6731 8888 Fax : (022) 6649 4151
E-mail : grievance@mcxindia.com
Website : www.mcxindia.com

Central Depository Services (India) Ltd. (CDSL)
Ph : (022) 22723333
E-mail : complaints@cdslindia.com
Website : www.cdslindia.com

You can file your complaints online at <http://scores.gov.in> or alternately send your complaints to Office of Investor Assistance and Education of SEBI at Mumbai or Regional Offices:


For more information visit website - <http://scores.gov.in>

Sign wherever you see 

INSTRUCTION / CHECK LIST FOR FILLING THIS KYC FORM

SECTION A	IMPORTANT POINTS
<ol style="list-style-type: none"> 1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others. 2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list. 3. If any proof of identity or address is in a regional/foreign language, then translation into English is required. 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted. 5. If correspondence & permanent address are different, then proofs for both have to be submitted. 6. Sole proprietor must make the application in his individual name & capacity. 7. For Non Residents and Foreign Nationals (allowed to trade subject to RBI and FEMA Guidelines), copy of Passport / PIO Card / OCI Card and overseas address proof is mandatory. 8. For Foreign entities, CIN is optional; and in the absence of DIN No. for the directors, their passport copy should be given. 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted. 10. For Opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate / Mark Sheet issued by Higher Secondary Board / Passport of Minor / Birth Certificate must be provided. 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc. 	

SECTION B	PROOF OF IDENTITY (POI) – LIST OF DOCUMENTS ADMISSIBLE AS PROOF OF IDENTITY (Self Attested)
<ol style="list-style-type: none"> 1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license. 2. PAN card with photograph. 3. Identity card/document with applicant's photo issued by any of the following: Central/State Government and its Departments, Statutory /Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks. 	


SECTION C	PROOF OF ADDRESS (POA) LIST OF DOCUMENTS ADMISSIBLE AS PROOF OF ADDRESS (Self Attested)
Note: Documents having an expiry date should be valid on the date of submission	
<ol style="list-style-type: none"> 1. Passport/ Voters Identity Card/Aadhaar card/Ration Card/Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy. 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill – Not more than 3 months old. 3. Bank Account Statement/Passbook – Not more than 3 months old. 4. Self declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts. 5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co- Operative Bank / Multinational Foreign Banks/Gazetted Officer/Notary public/elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority. 6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members. 7. For FI/Sub account, Power of Attorney given by FI / Sub-Account to the Custodians (which are duly notarized and /or apostiled or consularised) that gives the registered address should be taken. 8. The proof of address in the name of the spouse may be accepted. 	Sign wherever you see 

SECTION D	EXEMPTIONS / CLARIFICATIONS TO PAN
(Note: Sufficient documentary evidence in support of such claims to be collected.)	
<ol style="list-style-type: none"> 1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. 2. Investors residing in the State of Sikkim (subject to the continued exemption granted by Government). 3. UN Entities/Multiateral agencies exempted from paying taxes / filing tax returns in India. 4. SIP of Mutual Funds upto ₹ 50,000/- p.a. 5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary. 	

SECTION E	LIST OF PEOPLE AUTHORISED TO ATTEST THE DOCUMENTS
<ol style="list-style-type: none"> 1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy). 2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents. 	

SECTION F	ADDITIONAL DOCUMENTS IN CASE OF TRADING IN DERIVATIVES SEGMENT - ANY ONE
<p>In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time. (All document should be self attested by the client)</p> <ol style="list-style-type: none"> 1. Copy of ITR Acknowledgment 2. Copy of Annual Accounts 3. Copy of Form 16 in case of Salary Income 4. Net worth Certificate 5. Salary Slip - Last three months 6. Copy of Demat Account Holding Statement 7. Bank Account statement for last 6 Months 8. Self Declaration with relevant supporting documents 9. Any other relevant documents substantiating ownership of Assets. 	

SECTION G	ADDITIONAL DOCUMENTS
<p>Apart from the above documents, the following documents are mandatory to open a trading account</p> <ol style="list-style-type: none"> 1. Proof of Bank account Number of the constituent: Copy of cancelled cheque or Bank Pass Book or Bank Statement not later than last three months. Please ensure the name of the constituent, MICR Code and IFSC Code of the bank available in the proof 2. DP Client Master or Recent Holding Statement issued by DP bearing Name of the Client in the statement. 	

SECTION H	KRA REGISTRATION
<p>If you have already processed your identity using a KYC System with any broker, depository participant or other registered intermediary in India, you are exempted from the following :</p> <ol style="list-style-type: none"> a) Providing Proof of Identity b) Providing Proof of Address <p>Please ensure that your address and identity detail which was registered by the intermediary is up to date. If you feel that it may be incorrect, or you have updated your address in the last 12 months, please provide the above proofs to ensure that your account is opened on time. You can check if you are in the KRA System by visiting and entering your PAN number.</p> <p>CAMS KRA - www.camskra.com / CVL KRA - www.cvlkra.com DOTEX KRA - www.dotexkra.com / NSE KRA - www.nsekra.com</p> <p style="text-align: right;">Sign wherever you see </p>	

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chhattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

PART I - KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)



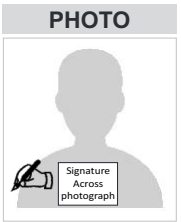
SSPL Wealth Pvt. Ltd.

Regd. Office : New No.11 (Old No. 5), Bishop Wallers Avenue (West),
Mylapore, Chennai - 600 004. Phone : 044 - 4216 9494
E-mail : support@ssplwealth.com Website : www.ssplwealth.com

For office use only Application Type * New Update
(To be filled by financial institution) KYC Number (Mandatory for KYC update request)
Account Type * Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle name	last name
<input type="checkbox"/> Name* (same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse name *	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother name *	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth *	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M - Male	<input type="checkbox"/> F - Female	<input type="checkbox"/> T - Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN - Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Individual		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S- Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector)			
	<input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)			
	<input type="checkbox"/> B- Business			
	<input type="checkbox"/> X- Not categorised			



2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end.)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)
 ISO 3166 Country Code of Jurisdiction of Residence*
 Tax Identification Number or equivalent (If issued by jurisdiction)*
 Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A - Passport Number	<input type="text"/>	Passport Expiry date	<input type="text"/>
<input type="checkbox"/> B - Voter ID card	<input type="text"/>		
<input type="checkbox"/> C - PAN card	<input type="text"/>		
<input type="checkbox"/> D - Driving Licence	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> E - UID (Aadhaar)	<input type="text"/>		
<input type="checkbox"/> F - NREGA Job card	<input type="text"/>		
<input type="checkbox"/> Z - Others (any document notified by the central government)	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> S - Simplified Measures Account - Document Type code	<input type="text"/>	Identification Number	<input type="text"/>

4. PROOF OF ADDRESS(PoA)*

4.1 CURRENT/PERMANENT / OVERSEAS ADDRESS DETAILS (Please refer instruction D at the end)
 (Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential / Business Residential Business Registered Office Unspecified
 Proof of Address* Passport Driving Licence UID (Aadhaar)
 Voter Identity card NREGA Job card Others Please specify
 Address Simplified Measures Account - Document Type code

Line 1*
 Line 2
 Line 3 City / Town / Village*
 District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*



4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS* (Please refer instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill "Annexure A1")

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -

Fax - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (Please refer instruction F at the end)

Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name* Prefix First Name Middle name last name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY (PoI) OF RELATED PERSON* (Please refer instruction H at the end)

A - Passport Number Passport Expiry date --

B - Voter ID card

C - PAN card

D - Driving Licence Driving Licence Expiry Date --

E - UID (Aadhaar)

F - NREGA Job card

Z - Others (any document notified by the central government) Identification Number

S - Simplified Measures Account - Document Type code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

● I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

● I hereby consent to receiving information from Centrak KYC Registry through SMS/Email on the above registered number/email address.

Date : -- Place :



Signature / Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received Certified Copies

IPV / KYC VERIFICATION CARRIED OUT BY

Date --

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

(Employee Signature)

INSTITUTION DETAILS

Name : **SSPL WEALTH PVT. LTD.**

Code :

[INSTITUTION STAMP]

PART II - APPLICATION FORM FOR ADDITIONAL DETAILS

A. BANK DETAILS

Bank Account No.													
Bank Name													
Branch Address													
MICR												IFSC Code	
Account Type	<input type="checkbox"/> Savings			<input type="checkbox"/> Current			<input type="checkbox"/> Others-Please Specify						
PIS Account No.													

Bank Account No.													
Bank Name													
Branch Address													
MICR												IFSC Code	
Account Type	<input type="checkbox"/> Savings			<input type="checkbox"/> Current			<input type="checkbox"/> Others-Please Specify						
PIS Account No.													

B. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name (DP)	Depository Name (NSDL / CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)
SSPL Wealth Pvt. Ltd.	CDSL		12045000	

C. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Name of the Segment	Name of the Exchange	Date of Consent for Trading	Signature of the Client
Cash	<input type="checkbox"/> NSE		
	<input type="checkbox"/> BSE		
Futures & Options (Equity)	<input type="checkbox"/> NSE		
	<input type="checkbox"/> BSE		
Currency	<input type="checkbox"/> NSE		
	<input type="checkbox"/> BSE		
Mutual Fund	<input type="checkbox"/> NSE		
	<input type="checkbox"/> BSE		
Futures & Options (Commodity)	<input type="checkbox"/> NSE		
	<input type="checkbox"/> BSE		
	<input type="checkbox"/> MCX		

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

D. INTRODUCER DETAILS (Optional)

Introducer's Name	
Introducer's address & Phone No.	
Status of the Introducer	<input type="checkbox"/> Sub-broker / <input type="checkbox"/> Remisier / <input type="checkbox"/> Authorized Person / <input type="checkbox"/> Existing Client / <input type="checkbox"/> Others, please specify _____
Introducer's Signature	

E. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details :

Sub Broker Name		SEBI Registration No.	
Registered Office Address			
Phone / fax			
Website			
Whether dealing with any other Stock Brokers/ Sub Brokers. If Yes, give details (Please Provide details of all)			
Stock Broker Name	Sub Broker Name	Exchange	Client Code
Details of disputes/dues pending from / to such stock broker/sub-broker :			

F. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/ authorized persons in charge of dealing in securities during the last 3 years :	<input type="checkbox"/> Yes <input type="checkbox"/> No

G. ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (Please specify) :			
Physical contract note : <input type="checkbox"/>		Electronic contract note (ECN) : <input type="checkbox"/>	
E-mail id : If you wish to receive ECN (write in capital letters)			
Whether you wish to avail of the facility of internet trading / wireless technology (Please specify)			
Internet trading facility : <input type="checkbox"/> YES / <input type="checkbox"/> NO		Wireless trading facility : <input type="checkbox"/> YES / <input type="checkbox"/> NO	
Whether you wish to receive Copy of Standard Documents like Rights & Obligations, Uniform Risk Disclosure Documents, Guidance Note detailing Do's and Don'ts & Policies and Procedures (Please specify)		<input type="checkbox"/> Electronic / <input type="checkbox"/> Physical	
Investment Experience	No Prior Experience <input type="checkbox"/>	In Stocks _____ years	In Derivatives _____ years
	In other Investment related field _____ years		

H. NOMINATION DETAILS (Please tick the appropriate options)

I / We do wish to nominate (Fill Annexure A at Page no.14 & 15)

I / We do not wish to nominate (Fill Annexure B at Page no.15)

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) , 'Risk Disclosure Document' & 'Guidance Note'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.



Place :

Date :

(_____)

Signature of Client

FOR OFFICE USE ONLY

UCC Code allotted to the Client :

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For SSPL Wealth Pvt. Ltd.

Authorised Signatory

Date : _____

Seal/Stamp of the stock broker



To SELF DECLARATION FOR COMMODITY WISE CATEGORIZATION

The Manager, SSPL Wealth Pvt. Ltd.

Voluntary Document

New No.11 (Old No. 5), Bishop Wallers Avenue (West), Mylapore, Chennai - 600 004.

I/We am/are dealing through you as a client in Commodities and Equities. As per the SEBI circular SEBI/HO/CDMRD/DNPMP/CIR/P/2019/08 date January 04, 2019 as well as circulars from MCX Exchange and for your records, I hereby give my consent to categorize me/us Commodity wise by tick marking (✓) in appropriate places as detailed below.

Table with 7 columns: Commodity #, Farmers / FPOs, Value Chain Participants (VCPs), Proprietary Traders, Domestic Financial Institutional Investors, Foreign Participants, Others. Rows include ALUMINIUM, BRASS, CARDAMOM, CASTORSEED, COPPER, COTTON, CRUPALMOIL, CRUDE OIL, GOLD, LEAD, MENTHAOIL, NATURALGAS, NICKEL, PEPPER, RBDPALM, SILVER, ZINC, DIAMOND, STEEL, RUBBER, KAPAS, MCXBULLDEX, MCXMETLDEX.

* Category of the participants (Please tick the appropriate one commodity wise)

Commodities like Gold, Silver, Crude Oil, Natural Gas, Brass, Copper, Zinc, Nickel, Aluminum, Lead, Black pepper, Cotton, Cardamom, Castor Seed, CPO, etc.,

Signature : [Signature]

Client Name: _____ Client Code: _____ Date : _____

Table with 2 columns: Category, Description. Rows: Farmers/FPOs, Value chain participants (VCPs), Domestic financial institutional investors, Foreign participants, Others.



ANNEXURE - 1

Voluntary Document

AUTHORITY FOR RUNNING ACCOUNT AUTHORISATION

To

SSPL Wealth Pvt. Ltd.

New No. 11, Old No. 5,
Bishop Wallers Avenue (West)
Mylapore, Chennai-600 004.

Dear Sir,

Sub: Running Account authorization Reg.

I/We am/are dealing through you as a client in Capital Market and/or Future & Option / Currency and Commodity Derivatives segments of NSE / BSE/MCX and in order to facilitate ease of operations (as debit or credit will be fluctuating on a day to day basis in my trading account) and to meet the upfront requirement of margin for trade

I / We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.
2. I/We request you to retain securities with you for my/our margin/pay-in/other-future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my fund and securities account,
 Once in every Calendar Quarter
 Once in a Calendar Month
as allowed by SEBI/Stock Exchanges from time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office. After that I/We shall have no right to dispute the transaction, funds and/or securities ever and agree that you shall not be liable for any incidental loss/damage caused due to retention of funds and/or securities.
6. I/We confirm you that I can revoke the above mentioned authority anytime.
7. I/We confirm you that you may retain of up to Rs.1,000/- (net amount across segment and across stock exchange) from actual settlement of funds.

Thanking You,

Yours faithfully,

Signature

Date : _____

**ANNEXURE - 2
LETTER OF AUTHORITY****Voluntary Document**

To
SSPL Wealth Pvt. Ltd.
New No. 11, Old No. 5,
Bishop Wallers Avenue (West),
Mylapore, Chennai-600 004.

Dear Sir,

Sub: **Letter of Authority - CASH / DERIVATIVES / COMMODITY Segments of NSE/BSE/MCX**

I am / we are dealing in securities with you at NSE/BSE/MCX in Cash/Derivatives/Commodity Segments and in order to facilitate ease of operations, I / we authorise you as under :

1. I / we authorise you to setoff outstanding in any of my / our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or in any other exchanges and/or against the value of cash margin or collateral shares provided to you by me / us.
2. I / we hereby authorise you to keep all the securities which I / we give you in margin including the payout of securities received by me / us for meeting margin / other obligation in stock exchange / to the Stock Exchange or otherwise.
3. I / we request you to retain credit balance in any of my / our account and to use the idle funds towards my / our margin/future obligations at any or both the Exchanges unless I / we instruct you otherwise. I / we also authorise you to debit the financial charges @ 2% p.m. for the debit balances if any, in my / our account and not settled as per the exchange requirements.
4. I / we request you to consider my / our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me / us all the confirmation on telephone unless instructed otherwise in writing. I / we am getting required details from contracts issued by you.
5. I / we confirm that I / we will never sublet the trading terminal on any term of connectivity, from my / our place to any other place without your prior approval.
6. I / we am agreeable for inter-settlement transfer of securities towards settlements.
7. I / we am agreeable for & authorise you to with hold funds pay-out towards all the applicable margins and debits.
8. All fines/penalties and charges levied upon you due to my / our acts/deeds or transactions may be recovered by you from my / our account.

Yours faithfully,



Signature

Date : _____

CLIENT DEFAULTER DECLARATION

I, _____ having PAN no. _____

do hereby declare that I have not been involved in any terrorist activity and I have not been declared as defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchanges/ Regulatory bodies/CIBIL (Credit Information Bureau of India Ltd.) etc.

I further declare that the above mentioned declaration/statement is true and correct.



(Signature of Client)

**Voluntary - Disclosure Information
(For Pro Account Trading)**

To,

Sir,

This is to inform you that we do Pro-account trading in National Stock Exchange of India Ltd. (NSE) , BSE Ltd. (BSE) & Multi Commodity Exchange of India Ltd. (MCX)

Thanks and best regards.

For **SSPL Wealth Pvt. Ltd.**

Authorised Signatory/ Director

I/We acknowledge the receipt of information given above by **SSPL Wealth Pvt. Ltd.** that they do Pro-account trading.



(Signature of Client)

Additional Form for Opening a Demat Account

For Individuals

	SSPL Wealth Pvt Ltd.	
	DEPOSITORY PARTICIPANT - CDSL	
	DP SEBI REG NO.: IN-DP-CDSL-262-2016 DP ID : 12045000	
	Regd. Office : New No.11 (Old No. 5), Bishop Wallers Avenue (West), Mylapore, Chennai - 600 004. Phone : 044 - 4216 9494	
	E-mail : support@ssplwealth.com Website : www.ssplwealth.com	

(To be filled by the Depository Participant)

Application No.		Date												
DP Internal Reference No.														
DP ID	1	2	0	4	5	0	0	0	Client ID					

(To be filled by the applicant in **BLOCK LETTERS** in English)

I / We request you to open a Demat Account in my / our name as per the following details :

Holders Details

Sole/ First Holder's Name	PAN																		
	UID																		
	Exchange Name & ID																		
Second Holder's Name	PAN																		
	UID																		
Third Holder's Name	PAN																		
	UID																		

Name *	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Although the account is opened in the name of natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status	Sub - Status
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA) <input type="checkbox"/> Individual - Director <input type="checkbox"/> Individual HUF / <input type="checkbox"/> Individual AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository receipts <input type="checkbox"/> Others(specify) _____

Details of Guardian (In case the account holder is a minor)

Guardian's Name		PAN	
Relationship with the Applicant			




I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my / our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	
I / We request you to send Electronic Transaction-cum-Holding statement at the email ID	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked, the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic

I/We wish to receive dividend / interest directly in to my/our bank account given below through ECS? (If not marked, the default option would be "Yes") [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Copy of Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	Mobile No. +91 _____ [Mandatory, if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option)									
Transactions Using Secured Texting Facility (TRUST), Refer to Terms & Conditions Annexure - 2.6	I wish to avail the TRUST facility Using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I/We wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TRUST									
	<table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
Easi	To register for easi, please visit CDSL's website : www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the Portfolio online.									


Option for Issue of DIS Booklet (Please tick any one)	
Option 1 I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account Opening	<input type="checkbox"/>
Option 2 I/We do not wish to receive the Delivery Instruction Slip (DIS) booklet with account Opening. However, the DIS booklet should be issued to me/us immediately on my/our request at any later date.	<input type="checkbox"/>

I/We have received and read the Rights and Obligations and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

(Signatures should be preferably in black ink).

Nomination Form
[Annexure A to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

	SSPL Wealth Pvt. Ltd.										FORM FOR NOMINATION																							
	Regd. Office : New No.11 (Old No. 5), Bishop Wallers Avenue (West), Mylapore, Chennai - 600 004. Phone : 044 - 4216 9494 E-mail : support@ssplwealth.com Website : www.ssplwealth.com										(To be filled in by individual applying singly or jointly)																							
Date	D	D	M	M	Y	Y	Y	Y	Y	Y	DP ID	1	2	0	4	5	0	0	0	0	Client ID													
I/We wish to make a nomination. [As per details given below]																																		
Nomination Details																																		
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																																		
Nomination can be made upto three nominees in the account.														Details of 1 st Nominee							Details of 2 nd Nominee							Details of 3 rd Nominee						
1	Name of the nominee(s) (Mr./Ms.)																																	
2	Share of each Nominee		Equally <small>(If not equally, please specify percentage)</small>										%							%							%							
Any odd lot after division shall be transferred to the first nominee mentioned in the form.																																		
3	Relationship With the Applicant (If Any)																																	
4	Address of Nominee(s) City / Place: State & Country:																																	
														PIN Code																				
5	Mobile / Telephone No. of nominee(s)																																	
6	Email ID of nominee(s)																																	
7	Nominee Identification details— [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Savings Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID																																	
Sr. Nos. 8 - 14 should be filled only if nominee(s) is a minor:																																		
8	Date of Birth {in case of minor nominee(s)}																																	
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }																																	
10	Address of Guardian(s) City / Place: State & Country:																																	
														PIN Code																				
11	Mobile / Telephone no of Guardian																																	
12	Email ID of Guardian																																	

13	Relationship of Guardian with nominee			
14	Guardian Identification details-- [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Savings Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			
		Name(s) of holder(s)		Signature(s) of holder*
Sole / First Holder (Mr./Ms.)				
Second Holder (Mr./Ms.)				
Third Holder (Mr./Ms.)				

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note: This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Witness

Name of the Witness : _____

Address of the Witness : _____

Signature of the Witness : _____

Declaration Form for opting out of nomination

[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

	SSPL Wealth Pvt. Ltd. Regd. Office : New No.11 (Old No. 5), Bishop Wallers Avenue (West), Mylapore, Chennai - 600 004. Phone : 044 - 4216 9494 E-mail : support@ssplwealth.com Website : www.ssplwealth.com		Date <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>								D	D	M	M	Y	Y	Y	Y
	D	D	M	M	Y	Y	Y	Y										
DP ID	1	2	0	4	5	0	0	0										
Client ID																		
Sole/First Holder Name																		
Second Holder Name																		
Third Holder Name																		
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.																		
Name and Signature of Holder(s)*																		
1.		2.		3.														

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

DDPI ID

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

TO ALL TO WHOM THESE PRESENTS SHALL COME, I/WE

1. _____ residing at

2. _____ residing at

3. _____ residing at

Having Unique Client Code _____


Whereas I/We hold beneficial owner account number 12045000 _____ with Central Depository Services (India) Limited (CDSL), through **SSPL Wealth Pvt. Ltd.** registered with Securities and Exchange Board of India (SEBI) bearing ID : IN-DP-262-2016.

And Whereas

I/We are desirous to buy and sell securities through **SSPL Wealth Pvt. Ltd.** who is a Member of National Stock Exchange of India Limited (NSE), BSE Limited (BSE) and Multi Commodity Exchange of India Limited (MCX) (hereinafter referred to as "the Exchange") bearing SEBI Registration No.INZ000192638.

Whereas in the course of availing the services and for meeting the margin and settlement obligation thereof on the exchanges and in order to make the process more transparent and simpler, I/We explicitly agree to access my/our BO account by **SSPL Wealth Pvt. Ltd.** for the limited purposes as mentioned herein

Now Know I/We all and THESE PRESENT WITNESS that I/we, do hereby jointly and severally nominate, constitute and appoint **SSPL Wealth Pvt. Ltd.**, a member of NSE/BSE and any other exchange/platform that they may join in future (hereinafter to referred jointly as 'exchanges' acting through any of its directors, officers and/or agents, as my/our true and lawful Constituted Attorney (hereinafter referred to as the said Attorneys) for me/us, in my/our name and on my behalf and at my/our risk and costs to do, exercise and perform all or any of the following acts, deeds and things.

S.No.	Purpose	Signature of Client *	
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	1st Holder	
		2nd Holder	
		3rd Holder	

S.No.	Purpose	Signature of Client *	
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	1st Holder	
		2 nd Holder	
		3 rd Holder	
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms	1st Holder	
		2 nd Holder	
		3 rd Holder	
4.	Tendering shares in open offers through Stock Exchange platforms	1st Holder	
		2 nd Holder	
		3 rd Holder	

I/We hereby authorize M/s **SSPL Wealth Pvt. Ltd.** to transfer the securities from my/our beneficiary account to the given demat accounts as mentioned herein below towards delivery settlement arising out of trades executed on the Stock Exchange and Pledging / Re-pledging of securities in favour of trading member (TM) / clearing member (CM) towards meeting margin requirements.

SR.NO.	DEMAT ACCOUNT NO.	RELATED TO EXCHANGE & SEGMENT	PURPOSE
1	12045000 00001000	NSE - CM SEGMENT	PAY IN
2	11000011 00014929	NSE - EARLY PAY IN	PAY IN
3	12045000 00024535	BSE - CM SEGMENT	PAY IN
4	12045000 00024541	BSE - CM SEGMENT	PAY OUT
5	11000010 00021558	BSE - EARLY PAY IN	PAY IN
6	12045000 00039516	CLIENT UNPAID SECURITIES ACCOUNT	CUSA ACCOUNT
7	12045000 00040456	CLIENT SECURITIES MARGIN PLEDGE A/C	TM/CM CMPA
8	11000011 00020926	CLIENT SECURITIES MARGIN REPLEDGE A/C	NSCCL

I/We hereby agree that all such acts done by my/our above mentioned accounts shall be deemed to be acts done by me/us. Further, to return to me/us the securities or funds that may have been received by the stock broker erroneously or those securities or funds that the stock broker was not entitled to receive from me/us.

This is a Revocable Demat Debit and Pledge Instructions (DDPI) without notice.


Signed and delivered by the within named Beneficial Owner(s)

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature			

POA ID

POWER OF ATTORNEY

TO ALL TO WHOM THESE PRESENTS SHALL COME, I/WE

1. _____ residing at 

2. _____ residing at 

3. _____ residing at 

Having Unique Client Code _____

Whereas I/We hold beneficial owner account number 12045000 _____ with Central Depository Services (India) Limited (CDSL), through **SSPL Wealth Pvt. Ltd.**, a depository participant registered with Securities and Exchange Board of India (SEBI) as Depository Participant having DP ID - 12045000 and SEBI Registration No. IN-DP-CDSL262-2016.

And Whereas I am / We are an investor(s) engaged in trading in securities and participating in sale/purchase of all products, services, securities offered by NSE/BSE through **SSPL Wealth Pvt. Ltd.** (hereinafter referred to as **SSPL**) a registered member of SEBI bearing SEBI Registration No. INZ000192638 in Cash/F&O and Currency Derivative segment and as a member of any other exchange/platform that **SSPL** may join in future.

And Whereas due to exigency and paucity of time, I/We am/are desirous of appointing agent/attorney to operate the aforementioned beneficiary account(s) on my/our behalf for the limited purposes and in the matter hereinafter appearing.

Now Know I/We all and THESE PRESENT WITNESS that I/we, do hereby jointly and severally nominate, constitute and appoint **SSPL**, a member of NSE/BSE and any other exchange/platform that they may join in future (hereinafter to referred jointly as 'exchanges' acting through any of its directors, officers and/or agents, as my/our true and lawful Constituted Attorney (hereinafter referred to as the said Attorneys) for me/us, in my/our name and on my behalf and at my/our risk and costs to do, exercise and perform all or any of the following acts, deeds and things.

(For the purpose of this POA, the term "Securities" shall mean and include all those units/instruments as provided in Secs(h) of SC(R)A, 1956 and any amendments thereto from time to time.

1. To give delivery/receive/initiate instructions, pledge instructions, pledge closure, margin pledge, margin repledge, unpledge, invoke instruction(s) that may be needed in the course of business relating to operation of demat account(s) no(s) for capital market, F&O segments with Depository Participants opened with **SSPL** with CDSL as may be communicated in writing towards the obligations for securities / margin funding transactions done through **SSPL** on NSE & BSE and any other Exchange under my/our instructions as per prevailing regulatory guidelines.



2. To debit my/our ledger account maintained with **SSPL** for fees/charges etc., and to discharge all financial obligations relating to transactions undertaken by me on any of the Stock Exchanges.
3. Pursuant to my/our instruction or instruction of my/our Authorised Representative to do all such acts and things as may be necessary to effect purchase/sell transactions in Securities. I/We authorise **SSPL** to enable us to apply/subscribe/renounce/sign renunciation forms for any offer or public issues of shares, securities, stock, bonds, debentures, right shares, additional shares, mutual fund units, units of collective investment scheme or any securities or purchase/sale/redemption, investment, tendering shares in open offer/buy-back/delisting or any other like issues and/or investment product for which services are availed from **SSPL**.
4. To pledge securities with **SSPL** and repledge the same with Exchanges/Clearing member/Clearing House/Clearing Corporations for limited purpose of meeting any form of margin requirements/debit balances. Also **SSPL** shall unpledge/invoke said pledged/repledged shares for meeting my/our pay-in/settlement obligation.
5. Instruct DP to debit securities to the said account and/or transfer securities from the said account and/or instruct DP to execute the share transfer requests given by me/us in electronic form or in any format and at any time through **SSPL** to pool/settlement account of **SSPL** as mentioned in below table to the extent of shares sold through **SSPL** for meeting pay-in obligation as well as for settlement obligations towards any Stock Exchange in all segments.
6. **SSPL** may amend the list of Demat Accounts mentioned in below table by adding/deleting demat account(s) to/from the list and particulars of such updated demat accounts will be intimated to me/us by **SSPL**.
7. To authorise **SSPL** to send trades and accounts related information and also consolidated summary of scrip-wise buy and sell positions taken with average rates by way of SMS/Email on a regular basis.
8. For the purpose aforesaid to sign contracts, agreements, transfers, acceptances, receipts or other instruments, documents and forms to accept and carry out correspondence with such person(s) or authority/authorities or department(s) and to do all such lawful acts requisite for effecting the same.

To do or commit to do all such acts and things as may be necessary or desirable in order to exercise its powers herein above or to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.




9. The Attorney hereby agrees to return/reverse to/from me/us the securities or the mutual funds that may have been wrongly/erroneously debited/credited from/to my/our demat account that **SSPL**. I/We was/were not entitled to **SSPL** shall agree the instructions given by me/us through any modes of communication and shall not be questioned by me/us and shall be conclusive and binding on me/us.
10. My/Our Attorney **SSPL** shall not be liable for any loss that may result from failure/inability of electronic connectivity of rejection of my/our application for any reason whatsoever. I/We do hereby confirm and declare that this Power of Attorney shall be valid, effective and operative and shall remain in force till the same is revoked by me/us. Further I/We hereby confirm that such revocation shall not affect my/our obligations outstanding at the time such revocation and the authority granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this Power of Attorney and all outstanding towards **SSPL** Wealth Private Limited have been settled. And generally my said attorney shall have the power to do all such acts, deeds and things on my behalf and I could have lawfully done, if personally present. And I do hereby for myself, my heirs, executors, administrators of acts done and legal representatives ratify and confirm and agree to ratify and by attorney confirm all and whatsoever my said attorney or his substitute or substitutes shall lawfully do or cause to be done by virtue of these presents at its office address mentioned herein above.

IN WITNESS WHEREOF I/We have here in to set and subscribed my/our respective hands to these presents the day and the year herein above written.

The demat account details of **SSPL** where client shares can be transferred / Pledged / Repledged for above purpose is mentioned below:

SR.NO.	DEMAT ACCOUNT NO.	RELATED TO EXCHANGE & SEGMENT	PURPOSE
1	12045000 00001000	NSE - CM SEGMENT	PAY IN
2	11000011 00014929	NSE - EARLY PAY IN	PAY IN
3	12045000 00024535	BSE - CM SEGMENT	PAY IN
4	12045000 00024541	BSE - CM SEGMENT	PAY OUT
5	11000010 00021558	BSE - EARLY PAY IN	PAY IN
6	12045000 00039516	CLIENT UNPAID SECURITIES ACCOUNT	CUSA ACCOUNT
7	12045000 00040456	CLIENT SECURITIES MARGIN PLEDGE A/C	TM/CM CMPA
8	11000011 00020926	CLIENT SECURITIES MARGIN REPLEDGE A/C	NSCCL

Signed and delivered by the within named Beneficial Owner(s).

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature			

In the presence of

	First Witness	Second Witness
Name of the Witness		
Address of the Witness		
Signature of the Witness		

We accept




For **SSPL Wealth Pvt. Ltd.**

Compliance Officer

FEMA DECLARATION FORM

Dear Sir / Madam,

With reference to my / our application for opening of a Demat account with you, I/We hereby confirm that I am a / We are non-resident Indian(s) / foreign national(s) Individual(s). I/We also confirm that I/We have complied with **FEMA (erstwhile FERA)** Regulations and I/We would continue to comply with **FEMA (erstwhile FERA)** regulations.

	First / Sole Holder	Second Holder	Third Holder
Signatures			

Place: _____

Date : _____

(* To be signed if second and/or third holder(s) is/are NRI(s) or FN(s). Not to be signed if second and/or third holder(s) is/are resident Indian(s))

Date : _____

From




To,

SSPL Wealth Pvt Ltd.
 New No.11, Old No.5, Bishop Wallers Avenue (West),
 Mylapore, Chennai - 600 004.

Dear sir/Madam,

Sub : Self Declaration of foreign address

Above mentioned address is my present address. If there is any changes in the above address, I will inform to the DP in writing immediately.

	First / Sole Holder	Second Holder	Third Holder
Signatures			

Place: _____

Date : _____

(* To be signed if second and/or third holder(s) is/are NRI(s) or FN(s). Not to be signed if second and/or third holder(s) is/are resident Indian(s))

ANNEXURE - 2.4

Terms And Conditions-cum-Registration Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to Bos for all debits.]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository (India) Limited, a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai - 400 001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of Dps who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers from the CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his Bo account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the Bos would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

BO ID	1	2	0	4	5	0	0	0											
-------	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DP ID)




(Please write your 8 digit Client ID)

Mobile Number on which messages are to be sent	+91																		
--	-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

Email ID : _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature			

ANNEXURE - 2.6




Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. "Third Party" means the operators with whom the Service Provider is having/will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time . Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository.
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
 6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP.
 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.

11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
17. Indemnity:
In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
18. Disclaimer:
Depository shall be absolved of any liability in case:-
 - a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
 - b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
 - c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

	First / Sole Holder	Second Holder	Third Holder
Signatures			

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such Bos and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance




24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

	First / Sole Holder	Second Holder	Third Holder
Signatures			



SCHEDULE OF CHARGES - DEPOSITORY

Account Description	Individual with POA	Individual without POA
	<input type="checkbox"/>	<input type="checkbox"/>
Account Opening	Nil	Nil
Documentation Charges	150	Nil
Custody	Nil	Nil
Account Maintenance Charges (upfront)	Rs.150/- p.a.	Rs.150/- p.a.
Transaction Charges (ON Market)	Buy : Nil Sell : 0.01 % of transaction value Minimum Rs.8.00 per transaction	Buy : Nil Sell : 0.01 % of transaction value Minimum Rs.15.00 per transaction
Transaction Charges (Off Market)	0.03% of transaction value Minimum Rs. 15/- per transaction	0.03% of transaction value Minimum Rs. 15/- per transaction
Inter DP	0.03% of transaction value Minimum Rs. 15/- per transaction	0.03% of transaction value Minimum Rs. 15/- per transaction
Dematerialization	Rs. 3.00 per certificate + (Rs.25 per request)+ courier charges at actual	Rs. 3.00 per certificate + (Rs.25 per request)+ courier charges at actual
Rematerialization	Rs. 10.00 per certificate + courier charges at actual	Rs. 10.00 per certificate + courier charges at actual
Pledge & Unpledge	Rs.25.00 to pledger	Rs.25.00 to pledger
Pledge Invocation (pledgee)	Rs.50.00 to pledgee	Rs.50.00 to pledgee
Failed instruction charges	Nil	Nil
Other Charges	Nil	Nil

- The above schedule of charges are exclusive of GST and other levies by Government bodies / statutory authority from time to time.
- The above schedule of charges is based on CDSL charges and fixed by the DP and is subject to revision at the discretion of the DP.
- Transaction statement will be sent monthly, if there is a transaction and a statement of holdings every quarter (or at the request of the client) by courier.
- All payments have to be made favouring **SSPL Wealth Pvt. Ltd.** You can pay by local cheque (in places where **SSPL Wealth Pvt. Ltd.** has a branch) or Demand Draft payable at Chennai. Outstation cheques will not be accepted.
- Any advance payments over and above the normal amount due can also be made. Any such higher amount paid than the minimum amount payable at the time of account opening shall be adjusted against the bills raised from time to time.
- Rs.100/- will be levied as penalty in case of non-payment of bills after 15 days from the due date of the payment. The depository services for the account will be temporarily withdrawn.

	First / Sole Holder	Second Holder	Third Holder
Signatures			



BROKERAGE DETAILS :

TARIFF SHEET - TRADING

1. CASH SEGMENT - NSE

Brokerage Slab	Rate %	Minimum Paise	
Delivery Based			
Intraday Trading			<input type="checkbox"/> One side / <input type="checkbox"/> Both Side

2. CASH SEGMENT - BSE

Brokerage Slab	Rate %	Minimum Paise	
Delivery Based			
Intraday Trading			<input type="checkbox"/> One side / <input type="checkbox"/> Both Side

3. F & O SEGMENT - NSE BSE

Brokerage - F & O												
Type	Index Futures			Index Options			Stock Futures			Stock Options		
	Percentage	Paise	Per Lot	Percentage	Paise	Per Lot	Percentage	Paise	Per Lot	Percentage	Paise	Per Lot
Both Side												
Single side												
Intraday												

4. CURRENCY DERIVATIVES SEGMENT - NSE BSE

Brokerage	Futures %	Options %	Rs. Per Lot	<input type="checkbox"/> One side / <input type="checkbox"/> Both Side
Daily Square up				
Settlement Square up				
Others				

5. COMMODITY SEGMENT - NSE BSE MCX

Brokerage - COM						
Type	Futures			Options		
	Percentage	Paise	Per Lot	Percentage	Paise	Per Lot
Both Side						
Single side						
Intraday						

6. MFSS :

--

7. Transaction charges, Stamp duty, GST, Securities Transaction Tax, Commodities Transaction Tax and any other statutory charges as applicable.

Maker : Checker : Authorised by :

(Client's Signature)



Acknowledgement Form

To

SSPL Wealth Pvt. Ltd.
New No. 11, Old No. 5,
Bishop Wallers Avenue (West)
Mylapore, Chennai-600 004.

Dear Sir,

I/We hereby acknowledge the receipt of copies of following documents duly executed by me/us.

1. Client Registration Form (KYC),
2. Rights & Obligations (DP & Trading),
3. Risk Disclosure Document (RDD),
4. Guidance Note,
5. Policies & Procedures
6. Tariff Sheet (Trading) & Schedule of Charges (DP)
7. Other voluntary documents executed by me/us.

I/We have also received communications / letter/ information from you conveying me/us the following details :

1. Trading Code & DP ID
2. Unique Client Code
3. Other details (Please specify) _____

Thanking you,

Yours faithfully,

Client's Signature

Client Name :

Client Code :

Date :



SSPL Wealth Pvt. Ltd.

MEMBER : NSE, BSE & MCX

DEPOSITORY PARTICIPANT - CDSL

Regd. Office : New No.11 (Old No. 5), Bishop Wallers Avenue (West),
Mylapore, Chennai - 600 004. Phone : 044 - 4216 9494

E-mail : support@ssplwealth.com Website : www.ssplwealth.com

Acknowledgement Receipt

Application No.		Date										
-----------------	--	------	--	--	--	--	--	--	--	--	--	--

We hereby acknowledge the receipt of the Client Registration Form.

We hereby acknowledge the receipt of Nomination for registration : yes / No

Sole/ First Holder's Name	
Second Holder's Name	
Third Holder's Name	

A/C OPENING KIT

Form Received on

DD/MM/YYYY

GROUP

UCC

	BROKING	DP
Forms Verified by	<input type="text"/>	<input type="text"/>
Forms Captured by	<input type="text"/>	<input type="text"/>
DP Maker	<input type="text"/>	
Stan Soft Maker	<input type="text"/>	
Verified with Stan Soft	<input type="text"/>	

UCC Upload By NSE BSE MCX
 CM CM
 F&O F&O F&O
 CDS CDS

UCC Verified By NSE BSE MCX
 CM CM
 F&O F&O F&O
 CDS CDS

KRA Upload By CVL
 DOTNET
 Others _____

KRA Verified By CVL
 DOTNET
 Others _____

Sent on Date / Signature

Welcome Letter

Welcome SMS

DP Kit Sent By

Brokerage Checked By

RMS Upload on

CKYC Captured By

CKYC Verified By

CKYC No.	KRA
First / Sole Holder : _____	First / Sole Holder : _____
Second Holder : _____	Second Holder : _____
Third Holder : _____	Third Holder : _____

