



SSPL WEALTH PVT. LTD.

Member Code: NSE - 11221, BSE – 6292, MCX -56990, Sebi Reg. No.: INZ000192638
 CIN: U67120TN1999PTC042589, GST No.: 33AAECS8838B1ZF, PAN No.: AAEC8838B
 NEW NO. 11, OLD NO. 5. BISHOP WALLERS AVENUE (WEST), MYLAPORE, CHENNAI- 4
 E mail – info@ssplwealth.com, Ph: 044-42169494

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Reso lve d*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	Nil



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Trend of monthly disposal of complaints

S. No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Jul - 2023	Nil	Nil	Nil	Nil
2	Aug - 2023	Nil	Nil	Nil	Nil
3	Sep - 2023	Nil	Nil	Nil	Nil
4	Oct - 2023	Nil	Nil	Nil	Nil
5	Nov - 2023	Nil	Nil	Nil	Nil
6	Dec - 2023	Nil	Nil	Nil	Nil
7	Jan - 2024	Nil	Nil	Nil	Nil
8	Feb - 2024	Nil	Nil	Nil	Nil
9	Mar - 2024	Nil	Nil	Nil	Nil
10	Apr - 2024	Nil	Nil	Nil	Nil
11	May - 2024	Nil	Nil	Nil	Nil
12	Jun - 2024	Nil	Nil	Nil	Nil
13	Jul - 2024	Nil	Nil	Nil	Nil
14	Aug - 2024	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

S. No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	Nil	1	1	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	Nil	Nil	Nil
6	2022-23	Nil	1	1	Nil
7	2023-24	Nil	Nil	Nil	Nil
	Grand Total	Nil	2	2	Nil