



SSPL SECURITIES PVT. LTD.

Member Code: 11221,56990,6292, Sebi Reg. No.: INZ000192638
Service Tax No.: AAEC58838BST001, PAN No.: AAEC58838B
NEW NO. 11, OLD NO. 5
BISHOP WALLERS AVENUE (WEST)
MYLAPORE
CHENNAI 600 004

Phone No.: 044-42169494
grievances@ssplwealth.com

Compliance Officer : Udayakumar R

Address : NEW NO. 11, OLD NO. 5
BISHOP WALLERS AVENUE (WEST)
MYLAPORE
CHENNAI-600 004
Phone : 044-42169494
Email : compliance@ssplwealth.com

SEBI Guidelines on Short Selling

Broad Framework for Short Selling: SEBI, through its circular SEBI/HO/MRD/MRD-PoD-3/P/CIR/2024/1 dated January 05, 2024, reiterated the regulatory framework for short selling. The key provisions of the circular are as follows:

- Naked short selling is strictly prohibited in the Indian securities market. All investors are required to fulfill their delivery obligations at the time of settlement.
- Institutional investors are not permitted to engage in day trading, i.e., they cannot square off transactions on the same trading day. • Only securities that are part of the F&O segment are eligible for short selling.
- Institutional investors must disclose at the time of placing the order whether the transaction is a short sale.

Actionable Points: In line with the above guidelines, clients are requested to explicitly disclose to their Relationship Manager at the time of order placement if the transaction constitutes a short sale.

SSPL SECURITIES PRIVATE LIMITED will compile these disclosures and report them to the respective stock exchanges as per regulatory requirements.